

Parsing Adjuncts

Meaghan Fowlie

UCLA Linguistics

McGill Linguistics

mfowlie@ucla.edu

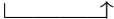

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Computation, Language, Biology
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Overview

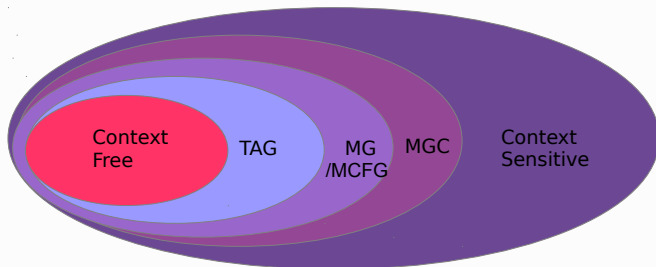
- What is an adjunct?
- Two existing models of adjunction
 - Traditional Minimalist Grammar
 - “Syntactic Cartography”
- My model (Minimalist Grammars with Adjunction)
- CKY-like parsing of MGs
- CKY-like parsing of MGAs

Properties of adjuncts to be captured

- (1)
- a. The (bad) wolf *optional*
 - b. The bad wolf *transparent to selection*

 - c. The big bad wolf
 - d. *The bad big wolf *strictly ordered*
 - e. The Alliance officer shot Kaylee in the cargo hold with a gun
 - f. The Alliance officer shot Kaylee with a gun in the cargo hold
Unordered
 - g. [bright blue] balloon *Adjuncts of adjuncts*

 - h. Kaylee is clever. *Selectable*

Minimalist Grammars

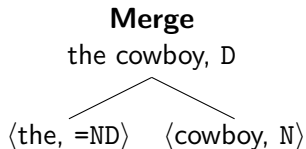
MGs (Stabler, 1997) are weakly and strongly equivalent to Multiple Context Free Grammars, putting them in the right general place for human languages



Minimalist Grammars (Stabler, 1997)

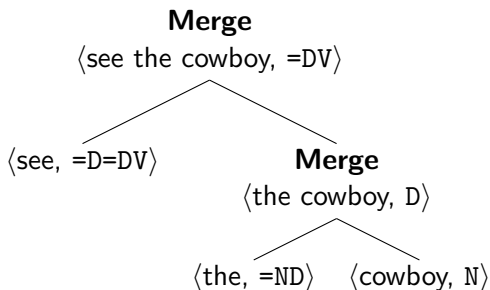
- Features on Lexical Items drive the derivation via **Merge** and **Move**
- **Features: sel** (for **Merge**): =X (positive), X (negative)

Example: Merge



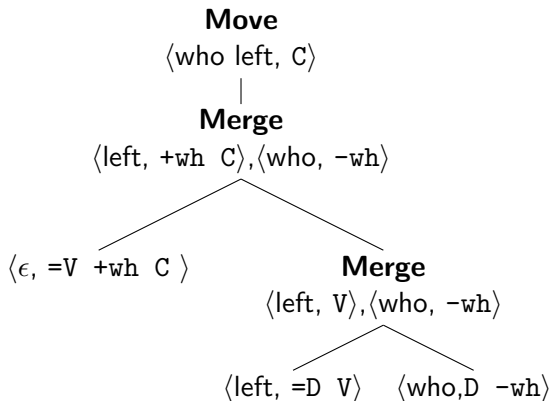
Minimalist Grammars (Stabler, 1997)

Example: Merge



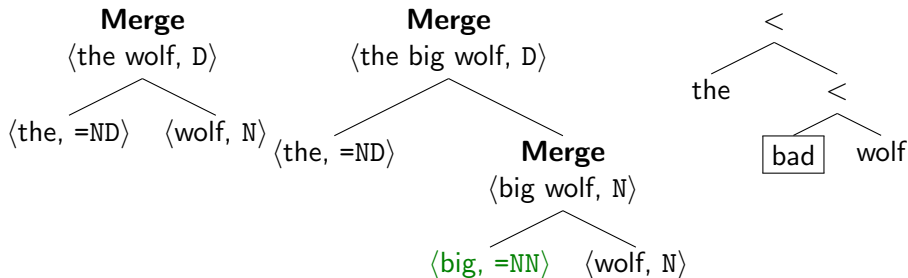
Minimalist Grammars (Stabler, 1997)

Example: Move



Traditional MG/Categorial Grammar approach

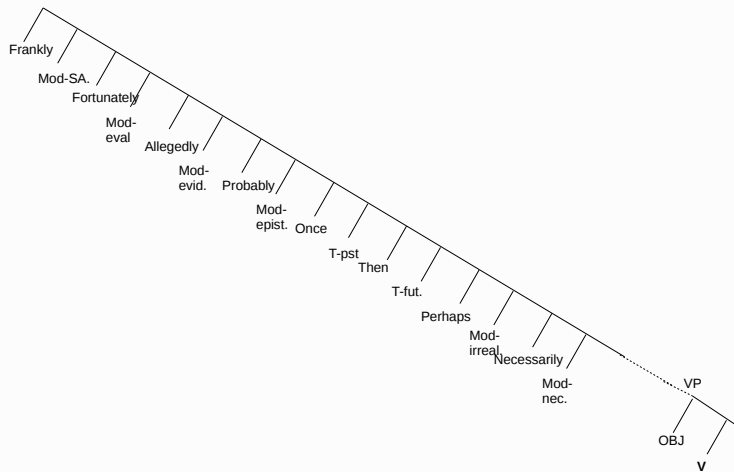
- X-Modifier features: (Categorial Grammar: X/X or $X \setminus X$) = XX ; Verbal modifier: = VV ; Nominal modifier: = NN etc.
- ✓ Optionality
- ✗ Ordering
- ✗ Transparent to selection



Traditional MG/Categorial Grammar approach

	Trad. (=XX)	Cart. (=A ₅ A ₆)	MGAs ([X, i, j])
Optionality	✓		
Selector selects expected category	✓		
Adjunct does not become head	✗		
Unordered adjuncts possible	✓		
Ordered adjuncts possible	✗		
Adjuncts of adjuncts	✗		
Selectability	✗		

Cartography: adverbs

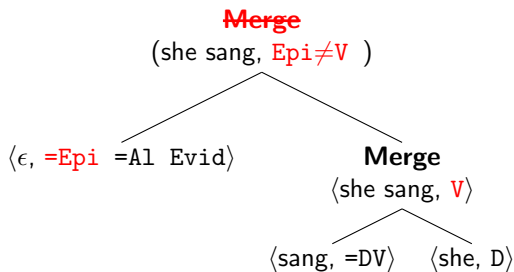


Problem

Allegedly, she sang

Lexicon:

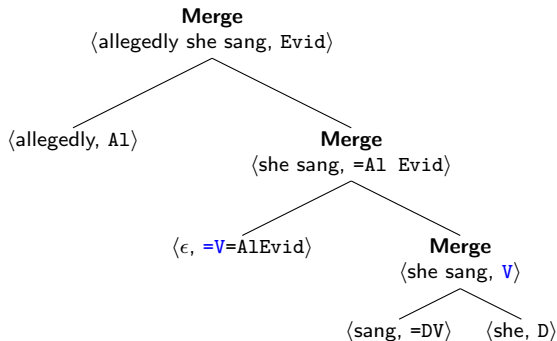
- (Allegedly, Al)
- (ϵ , =Epi =Al Evid)
- (probably, Pr)
- (ϵ , =T_{pst} =Pr T_{fut})
- ...
- (ϵ , =V =Compl Asp_{compl})
- (she, D)
- (sang, =D V)



Solution 1: multiplication of the lexicon

Lexicon:

- (Allegedly, A1)
- (ϵ , =Epi =A1 Evid)
- (ϵ , =T_{pst} =A1 Evid)
- (ϵ , =T_{fut} =A1 Evid)
- (ϵ , =Per =A1 Evid)
- (ϵ , =Nec =A1 Evid)
- (ϵ , =Pos =A1 Evid)
- ...about 20 more...
- (ϵ , =V =A1 Evid)
- (she, D)
- (sang, =D V)



Solution 2: silent, meaningless LIs

Lexicon:

- (allegedly, \llbracket allegedly \rrbracket , A1)
- (ϵ , \llbracket evid \rrbracket , =Epi =A1 Evid)
- (ϵ , **id**, =Epi Evid)
- (ϵ , \llbracket prob \rrbracket , =T_{pst}=Prob Epi)
- (ϵ , **id**, =T_{pst}Epi)
- (ϵ , \llbracket past \rrbracket , =T_{fut}T_{pst})
- (ϵ , **id**, =T_{fut}T_{pst})
- ...
- (ϵ , \llbracket compl \rrbracket , =V=Compl Asp_{Compl})
- (ϵ , **id**, =V Asp_{Compl})
- (she, D)
- (sang, =D V)

Cartography – Properties

	Trad. (=XX)	Cart. (=A ₅ A ₆)	MGAs ([X, i, j])
Optionality	✓	✗	
Selector selects expected category	✓	✗	
Adjunct does not become head	✗	✗	
Unordered adjuncts possible	✓	✗	
Ordered adjuncts possible	✗	✓	
Adjuncts of adjuncts	✗	✗	
Selectability	✗	✗	

Cartography: summary

If we want to keep:

- Merge driven by features
- Adjunct ordering is syntactic
- Just Merge and Move

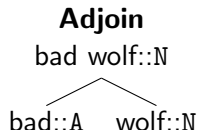
Then we need either:

- Lots of versions of each functional head (or adjunct) OR
- Silent, meaningless, non-specifier-selecting versions of each functional head, yielding a full Cinque hierarchy in every sentence

Minimalist Grammars with Adjunction (MGAs)

Proposal

Adjoin is optional → Add an optional operation **Adjoin** that applies to full phrases. Resulting phrase has the category of the adjoined-to phrase.



MGAs

Wait! Adjunction doesn't occur between just any two phrases \rightarrow add to the grammar a set of adjuncts for each category

Ad : **sel** \rightarrow $\mathcal{P}(\text{sel})$

eg **Ad**(N) = {A, P, C}

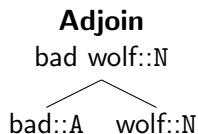
MGAs

Merge $s \cdot X\alpha \quad t \cdot Y\beta$ iff $X = Y$

Move $s : +f\alpha \quad t : -g\beta$ iff $f = g$

Adjoin $s \cdot X\alpha \quad t \cdot Y\beta$ iff $Y \in \mathbf{Ad}(X)$

MGAs



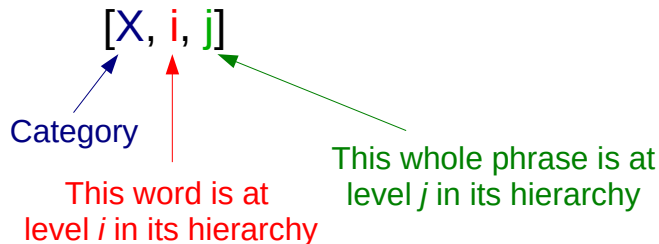
- Optional
- Category-preserving
- Applies to complete phrases
- Specifies which phrases can adjoin to which phrases
- Adjuncts have their own categories (→ selectable, adjoin-able, intuitive)

MGAs

Wait! What about adjunct ordering?

Add indices to track hierarchy level of most recent adjunct.

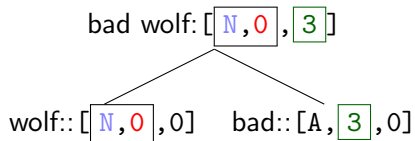
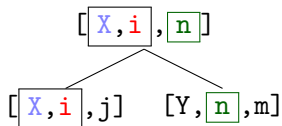
Categories



big::A \rightarrow big:: [A, 5, 0]

bad::A \rightarrow bad:: [A, 3, 0]

Example



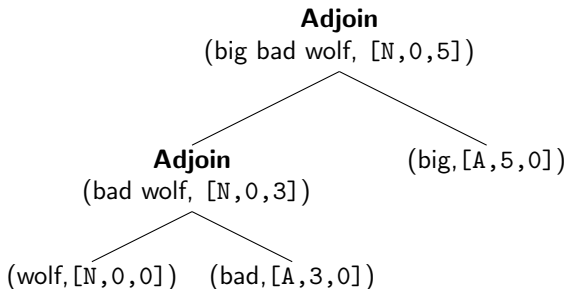
Adjoin example

big bad wolf

$\text{ad}(N) = \{A, P, C\}$

Lexicon:

- $\langle \text{bad}, [A, 3, 0] \rangle$
- $\langle \text{big}, [A, 5, 0] \rangle$
- $\langle \text{the}, =N[D, 0, 0] \rangle$
- $\langle \text{wolf}, [N, 0, 0] \rangle$



Adjoin

If NP adjoins to some XP,
XP must be at level 0,
and the resulting XP will
remain at level 0

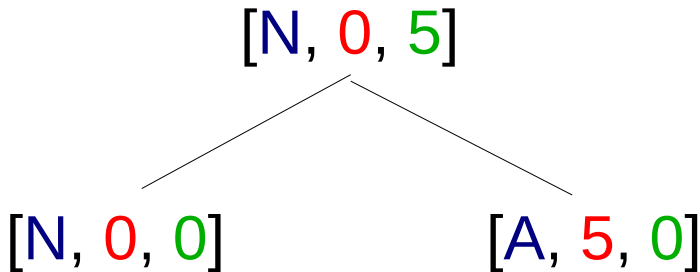
[N, 0, 0]

When AP adjoins to NP,
NP must be at level 5 or lower,
and the resulting NP will
be at level 5

[A, 5, 0]

NP and AP are at level 0
so any adjunct may adjoin to them

Adjoin



if $A \in \mathbf{Ad}(N)$

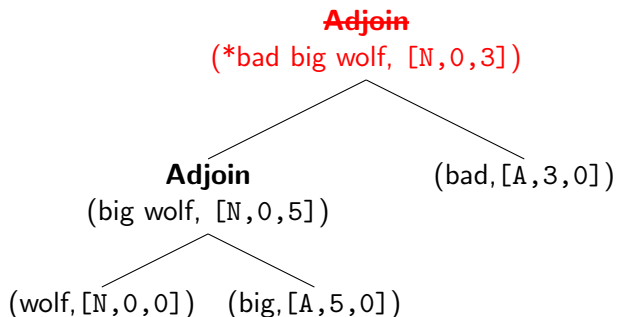
Failed example: bad adjunct order

*The bad big wolf

$\text{ad}(N) = \{A, P, C\}$

Lexicon:

- $\langle \text{bad}, [A, 3, 0] \rangle$,
- $\langle \text{big}, [A, 5, 0] \rangle$,
- $\langle \text{the}, =N[D, 0, 0] \rangle$,
- $\langle \text{wolf}, [N, 0, 0] \rangle$,



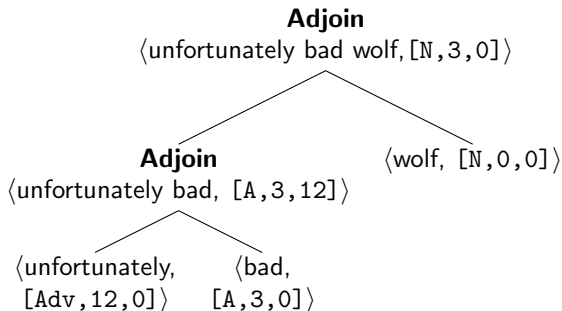
Adjuncts of adjuncts

$\text{ad}(N) = \{A, P, C\}$

$\text{ad}(V) = \{\text{Adv}, P, C\}$

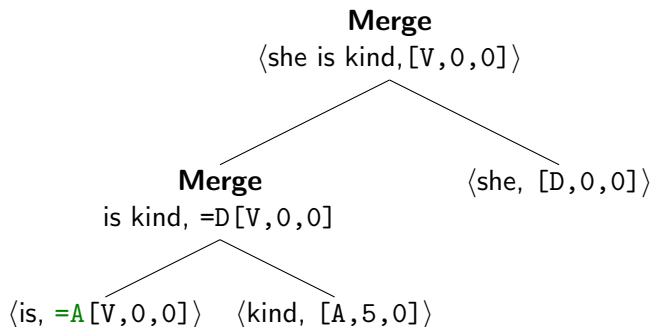
$\text{ad}(A) = \{\text{Adv}\}$

- $\langle \text{frankly}, [\text{Adv}, 12, 0] \rangle$
- $\langle \text{unfortunately}, [\text{Adv}, 11, 0] \rangle$
- $\langle \text{allegedly}, [\text{Adv}, 10, 0] \rangle$
- $\langle \text{bad}, [A, 3, 0] \rangle$
- $\langle \text{wolf}, [N, 0, 0] \rangle$



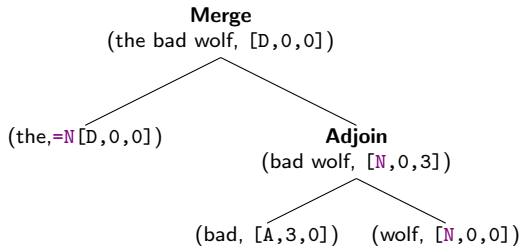
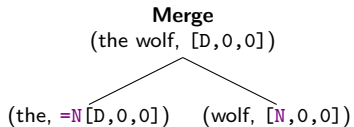
Selecting adjuncts

She is kind



MGA – properties

Optional Transparent to selection



Comparison

	Trad. (=XX)	Cart. (=A ₅ A ₆)	MGAs ([X, i, j])
Optionality	✓	✗	✓
Selector selects expected category	✓	✗	✓
Adjunct does not become head	✗	✗	✓
Unordered adjuncts possible	✓	✗	✓
Ordered adjuncts possible	✗	✓	(✓)
Adjuncts of adjuncts	✗	✗	✓
Selectability	✗	✗	✓

MGA – formal properties

- Not strongly equivalent to traditional MGs: has an extra function
- Weakly equivalent to traditional MGs
- **Proof outline:**
 - $L(MG) \subseteq L(MGA)$ since you can just take out **Adjoin** and remove the indicies, and you're left with an MG
 - $L(MG) \subseteq L(MCFG) = L(MGA)$:
 - Convert each featural instance of Adjoin and Merge to a set of Multiple Context Free rules

MGA – weak equivalence to MGs

Merge($\langle s, =X\alpha \rangle :: \text{mvr}_s, \langle t, [X, m, n] \rangle :: \text{mvr}_t$) = $\langle st, \alpha \rangle :: \text{mvr}_s \cdot \text{mvr}_t$

$\text{mvr}_1 = \langle \langle s_1, \delta_1 \rangle, \langle s_2, \delta_2 \rangle, \dots, \langle s_i, \delta_i \rangle \rangle$

$\text{mvr}_2 = \langle \langle t_1, \gamma_1 \rangle, \langle t_2, \gamma_2 \rangle, \dots, \langle t_j, \gamma_j \rangle \rangle$

$\langle \alpha, \delta_1, \dots, \delta_i, \gamma_1, \dots, \gamma_j \rangle (st, s_1, \dots, s_i, t_1, \dots, t_j)$

$:- \langle = X\alpha, \delta_1, \dots, \delta_i \rangle (s, s_1, \dots, s_i) \langle [X, m, n], \gamma_1, \dots, \gamma_j \rangle (t, t_1, \dots, t_j)$

$\forall X \in \mathbf{sel},$

$\forall \alpha, \in \mathbf{Suf}(\mathbf{Lex}),$

$\forall \delta_1, \dots, \delta_i, \gamma_1, \dots, \gamma_j \in \mathbf{Suf}(\mathbf{Lex}) \cap \mathbf{-lic}^*,$

$\forall n, m \leq h$

for h the maximal hierarchy depth in the grammar

MGA – weak equivalence to MGs

Adjoin-and-stay rules:

$$\begin{aligned} &\forall X, Y \in \mathbf{sel} \text{ s.t. } Y \in \mathbf{Ad}(X), \forall k, l, n, m \leq h \text{ s.t. } n \geq k \\ &\langle [X, m, n], \delta_1, \dots, \delta_i, \gamma_1, \dots, \gamma_j \rangle (s_0 t_0, s_1, \dots, s_i, t_1, \dots, t_j) \\ &:- \langle [X, m, k], \delta_1, \dots, \delta_i \rangle (s_0, \dots, s_i) \langle [Y, n, l], \gamma_1, \dots, \gamma_j \rangle (t_0, \dots, t_j) \end{aligned}$$

Adjoin-and-move rules:

$$\begin{aligned} &\forall X, Y \in \mathbf{sel} \text{ s.t. } Y \in \mathbf{Ad}(X), \forall k, l, n, m \leq h \text{ s.t. } n \geq k \\ &\langle [X, m, n], \beta, \delta_1, \dots, \delta_i, \gamma_1, \dots, \gamma_j \rangle (s_0, t_0, s_1, \dots, s_i, t_1, \dots, t_j) \\ &:- \langle [X, m, k], \delta_1, \dots, \delta_i \rangle (s_0, \dots, s_i) \langle [Y, n, l] \beta, \gamma_1, \dots, \gamma_j \rangle (t_0, \dots, t_j) \end{aligned}$$

Interim Summary

- MGAs capture linguistic properties of adjuncts
- Generate same sentences as an MG, but more efficiently, capturing more generalisations
- Can be expanded to capture unordered adjuncts, functional heads, and obligatory adjuncts

CKY recogniser for CFGs

- $S \rightarrow DP VP$
- $DP \rightarrow D N$
- $D \rightarrow \text{the}$
- $N \rightarrow \text{cat}$
- $VP \rightarrow \text{slept}$

(2) $_0$ the $_1$ cat $_2$ slept $_3$

	0	1	2	3
0		D	DP	S
1			N	
2				VP
3				

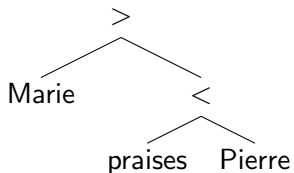
CKY parsing of MGs (Harkema, 2001)

Marie::D

Pierre::D

praises::=D=DV

0 Marie 1 praises 2 Pierre 3



	0	1	2	3
0		L D		V
1			L =D=DV	=DV
2				L D
3				

CKY parsing of MGs

Marie::D-nom

Pierre::D

praises::=D=DV

ϵ ::=V+nomT

0 Marie 1 praises 2 Pierre 3

	0	1	2	3
0	$L=V+nomT$	$L D-nom$		T
1		$L=V+nomT$	$L=D=DV$	=DV V;(0,1):-nom +nomT;(0,1):-nom
2			$L=V+nomT$	$L D$
3				$L=V+nomT$

CKY parsing of MGAs

the::=N[D,0,0] wolf::[N,0,0] big::[A,5,0] bad::[A,3,0]

0 the 1 big 2 bad 3 wolf 4

	0	1	2	3	4
0		$L=N[D,8,8]$			$[D,0,0]$
1			$L[A,5,0]$		$[N,0,5]$
2				$L[A,3,0]$	$[N,0,3]$
3					$L[N,0,0]$
4					

CKY parsing of MGAs

When you have a $[X, i, j]_{\alpha}$,

- Look to the right for something to adjoin to, Y . Check **Ad**(Y) and indices.
- Look left for adjunct. Check **Ad**(X) and indices.
- Look anywhere for moving adjunct. Check **Ad**(X) and indices. Keep mover just like with Merge.

Conclusions

- To preserve both traditional observations of adjuncts (optionality etc) and modern observations (ordering) I propose a new function, Adjoin, that applies optionally, restricted by both adjunct categories and hierarchy
- MGAs are weakly equivalent to MGs
- MGAs can be (fairly efficiently) parsed with a CKY-like chart parser

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Cartography: default adjunct orders

- Using the same architecture, how can we capture ordering?

- (3)
- a. Wear the enormous ugly green hat
Wear the hat that is enormous, ugly, and green
 - b. #Wear the ugly enormous green hat
Of your enormous green hats, wear the ugly one.

Cartography (Cinque, 1999)

- (4)
- a. The **small ancient triangular green Irish pagan metal** artifact was lost.
 - b. *The **metal green small** artifact was lost. **Adjectives**
 - c. **Frankly**, John **probably once usually** arrived **early**.
 - d. ***Usually**, John **early frankly once** arrived **probably**. **Adverbs**
 - e. **[Il premio Nobel]_{top}**, **[a chi]_{wh}** lo daranno?
 [the prize Nobel]_{top}, [to whom]_{wh} it give.fut
 'The Nobel Prize, to whom will they give it?' **Left periphery**
 - f. DP **zhe** [NumP **yi** [CIP **zhi** [NP **bi**]]]
 DP this [NumP one [CIP Cl [NP pen]]]
 'this pen' **Functional DP projections**

Adjoin: formal definition

Definition (Adjoin)

Let $s, t \in \Sigma$ be strings, $Y, X \in \mathbf{sel}$ be categories, $i, j, n, m \in \mathbb{N}$, $mvs \in (\Sigma^* \times F)^*$ be a mover list, and $\alpha, \beta \in F^*$.

$$\mathbf{Adjoin}(\langle s, [X, i, j]\alpha :: mvs \rangle, \langle t, [Y, n, m]\beta \rangle) = \begin{cases} \langle ts, [X, i, n]\alpha \rangle :: mvs & \text{if } n \geq j \text{ \& } Y \in \mathbf{Ad}(X) \text{ \& } \beta = \epsilon \\ \langle s, [X, i, n]\alpha \rangle :: \langle t, \beta \rangle :: mvs & \text{if } n \geq j \text{ \& } Y \in \mathbf{Ad}(X) \text{ \& } \beta \neq \epsilon \end{cases}$$

Merge: new formal definition

Definition (Merge)

For $\alpha, \beta \in F^*$; s, t strings:

Merge($\langle s, =X\alpha \rangle :: \text{mvr}_s, \langle t, [X, i, j]\beta \rangle :: \text{mvr}_t$) =

$$\begin{cases} \langle st, \alpha \rangle :: \text{mvr}_s \cdot \text{mvr}_t & \text{if } \beta = \epsilon \\ \langle s, \alpha \rangle :: \langle t, \beta \rangle :: \text{mvr}_s \cdot \text{mvr}_t & \text{if } \beta \neq \epsilon \end{cases}$$

Context Free CKY recogniser

- $S \rightarrow DP VP$
- $DP \rightarrow D N$
- $D \rightarrow \text{the, every}$
- $N \rightarrow \text{cat, dog}$
- $VP \rightarrow \text{slept, V DP}$
- $V \rightarrow \text{saw}$

(5) ₀ the ₁ cat ₂ slept ₃

	1	2	3
0	D	DP	S
1		N	
2			VP

CKY

- Cocke, Kasami, and Younger
- aka CYK parsing
- a type of chart parsing
- sound and complete (Shieber et al., 1995)
- for sentence length n , maximum number of steps is proportional to n^3 (Aho and Ullman, 1972)
- Efficient enough? Disagreement in the literature.

CKY

For string $s = w_0 w_1 \dots w_n$ and for $i, j, k \leq n$, we use the following rules:

- $(i - 1, i) : w_i$ (AXIOMS)
- $\frac{(i, j) : w}{(i, j) : A}$ (REDUCE1) if $A \rightarrow w$
- $\frac{(i, j) : B \quad (j, k) : C}{(i, k) : A}$ (REDUCE2) if $A \rightarrow B C$

$s \in L$ iff the closure of the axioms under the inference rules is $(0, n) : S$

CKY

- Fill in the chart in every way possible
- Top right corner has start category: grammatical
- relative efficiency comes from the fact that ambiguities get merged wherever possible

CKY parsing of MGs

$$\overline{(i, j) :: \gamma} \quad \text{for each } w \in [i, j] \text{ s.t. } w :: \gamma$$

$$\frac{(i, j) :: =X\gamma \quad (j, k) \cdot X; mvr_1; \dots; mvr_n}{(i, k) : \gamma; mvr_1; \dots; mvr_n} \text{(MERGE1)}$$

$$\frac{(j, k) : =X\gamma; mvr_{11}; \dots; mvr_{1n} \quad (i, j) \cdot X; mvr_{21}; \dots; mvr_{2m}}{(i, k) : \gamma; mvr_{11}; \dots; mvr_{1n}; mvr_{21}; \dots; mvr_{2m}} \text{(MERGE2)}$$

$$\frac{(i, j) \cdot =X\gamma; mvr_{11}; \dots; mvr_{1n} \quad (k, l) \cdot X\beta; mvr_{21}; \dots; mvr_{2m}}{(i, j) : \gamma; mvr_{11}; \dots; mvr_{1n}; (k, l) : \beta; mvr_{21}; \dots; mvr_{2m}} \text{(MERGE3)}$$

$$\frac{(j, k) \cdot +f\gamma; mvr_1; \dots; mvr_{x-1}; (i, j) \cdot +f\beta; mvr_{x+1}; \dots; mvr_m}{(i, k) : \gamma; mvr_1; \dots; mvr_{x-1}; mvr_{x+1}; \dots; mvr_m} \text{(MOVE1)}$$

$$\frac{(i, j) \cdot +f\gamma; mvr_1; \dots; mvr_{x-1}; (i, j) \cdot +f\beta; mvr_{x+1}; \dots; mvr_m}{(i, j) : \gamma; mvr_1; \dots; mvr_{x-1}; (k, l) : \beta; mvr_{x+1}; \dots; mvr_m} \text{(MOVE2)}$$

CKY parsing of MGs

In the worst case, this kind of MG recognition requires no more than $\mathcal{O}n^{4k+4}$ steps, where k is the number of licensors and n is the length of the input

CKY parsing of MGAs: Merge

Add SMC!

$\overline{(i, j) :: \gamma}$ for each $w \in [i, j]$ s.t. $w :: \gamma$

$$\frac{(i, j) :: =X\gamma \quad (j, k) \cdot [X, a, b]; mvr_1; \dots; mvr_n}{(i, k) : \gamma; mvr_1; \dots; mvr_n} \text{(MERGE1)}$$

$$\frac{(j, k) : =X\gamma; mvr_{11}; \dots; mvr_{1n} \quad (i, j) \cdot [X, a, b]; mvr_{21}; \dots; mvr_{2m}}{(i, k) : \gamma; mvr_{11}; \dots; mvr_{1n}; mvr_{21}; \dots; mvr_{2m}} \text{(MERGE2)}$$

$$\frac{(i, j) \cdot =X\gamma; mvr_{11}; \dots; mvr_{1n} \quad (k, l) \cdot [X, a, b]\beta; mvr_{21}; \dots; mvr_{2m}}{(i, j) : \gamma; mvr_{11}; \dots; mvr_{1n}; (\mathbf{k}, \mathbf{l}) : \beta; mvr_{21}; \dots; mvr_{2m}} \text{(MERGE3)}$$

CKY parsing of MGAs: Move

$$\frac{(j, k) \cdot +f\gamma; mvr_1; \dots; mvr_{x-1}; (i, j) \cdot +f; mvr_{x+1}; \dots; mvr_m}{(i, k) : \gamma; mvr_1; \dots; mvr_{x-1}; mvr_{x+1}; \dots; mvr_m} (\text{MOVE1})$$

$$\frac{(i, j) \cdot +f\gamma; mvr_1; \dots; mvr_{x-1}; (i, j) \cdot +f\beta; mvr_{x+1}; \dots; mvr_m}{(i, j) : \gamma; mvr_1; \dots; mvr_{x-1}; (k, l) : \beta; mvr_{x+1}; \dots; mvr_m} (\text{MOVE2})$$

CKY parsing of MGAs: Adjoin

$$\frac{(j, k) : [X, a, b] \gamma; mvr_{11}; \dots; mvr_{1n} \quad (i, j) \cdot [Y, c, d]; mvr_{21}; \dots; mvr_{2m}}{(i, k) : [X, a, c] \gamma; ; mvr_{11}; \dots; mvr_{1n}; mvr_{21}; \dots; mvr_{2m}} (\text{ADJOIN1})$$

if $Y \in \text{Ad}(X)$ and $c \geq b$

$$\frac{(i, j) \cdot [X, a, b] \gamma; mvr_{11}; \dots; mvr_{1n} \quad (k, l) \cdot [Y, c, d] \beta; mvr_{21}; \dots; mvr_{2m}}{(i, j) : [X, a, c] \gamma; ; mvr_{11}; \dots; mvr_{1n}; (\mathbf{k}, \mathbf{l}) : \beta; mvr_{21}; \dots; mvr_{2m}} (\text{ADJOIN2})$$

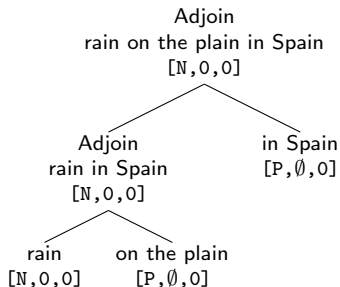
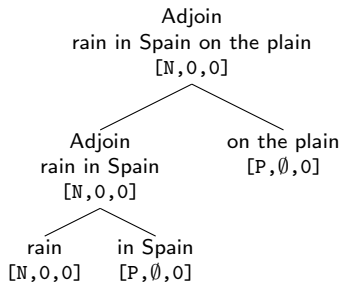
Unordered adjuncts

? Unordered

- Could make them all one level
- Or at every level
- Better: expand index set to include non-number, \emptyset
 - When **Adjoin** sees \emptyset , *asymmetrically* checks features
 - Hierarchy level of phrase doesn't change

Unordered adjuncts

✓ Unordered



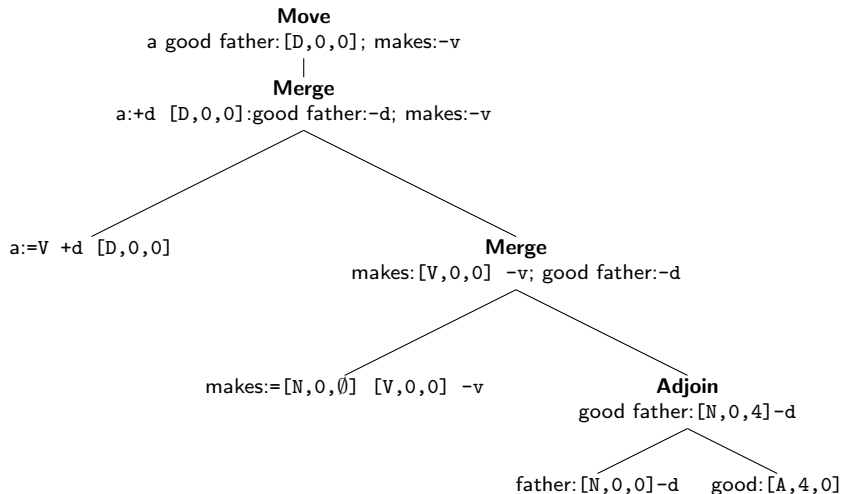
✓ Adjuncts on either side of head

Obligatory adjuncts

- (6) a. He makes a **good** father.
b. *He makes a father.

- Noun with no adjuncts: $[N, 0, 0]$
- Noun with adjunct: $[N, 0, 3]$
- \rightarrow Expand Merge to require last element to be non-zero
- $= [N, 0, \emptyset]$ can Merge with $[N, i, j]$ for $j > 0$

Obligatory adjuncts



Note: Sportiche (2005) proposes that verbs select NPs, and the NPs move to their Ds, which are functional heads on the spine.